Express Mail Label No.: E \$\square\$988844US

PATENT 85ER-00134

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mitchell D. Luedtke

Art Unit: 2167

Serial No.: 09/682,106

Filed: July 20, 2001

Examiner: Unassigned

For: ONLINE METHOD FOR BINDING

**AUTOMATIC TYPE REINSURANCE** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

Applicant respectfully requests the filing receipt in the above-referenced Patent

Application be corrected to set forth the attorney docket number as follows:

The attorney docket number is 85ER-00134.

A copy of the filing receipt is attached reflecting the requested correction.

Applicant further requests that a new, corrected filing receipt be issued.

Respectfully submitted,

Daniel M. Fitzgerald

Registration No. 38,880

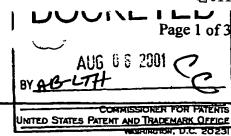
ARMSTRONG TEASDALE LLP One Metropolitan Square, Suite 2600

St. Louis, Missouri 63102-2740

(314) 621-5070



## United States Patent and Trademark Office



www.uspto.gov

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 09/682, 105
 07/20/2001
 2167
 790
 -01,249
 14
 15
 4

 \$5 E R - 0013 4

**CONFIRMATION NO. 5627** 

24030 SHUGHART THOMSON & KILROY, PC 120 WEST 12TH STREET KANSAS CITY, MO 64105 FILING RECEIPT

\*OC0000000006368818\*

Date Mailed: 08/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt Incorporating the requested corrections (if appropriate).

Applicant(s)

Mitchell D. Luedtke, Overland Park, KS;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/31/2001

Projected Publication Date: 01/23/2003

Non-Publication Request: No

Early Publication Request: No

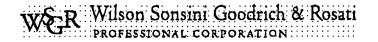
Title

Online method for binding automatic type reinsurance

**Preliminary Class** 

705

Data entry by : AMARE, TSION Team : OIPE Date: 08/01/2001



Date: September 21, 2004 To: Commissioner for Patent Use this fax Fax: 703-305-7658 number only Notify recipient Company: U.S. Patent and Trademark Office Phone: before sending From: Ruth E. Brown Phone: 1-650-461-6545 Return Fax: 1-650-493-6811 Original: To follow via mail To follow via courier To follow via email Original will not follow Fax Contains: 3 pages (including this sheet). If incomplete, call 1-650-461-6545]. Re; U,S, Patent Application entitled: Device and Method for Modifying the Shape of a Body Organ Application No.: 10/845,474 Flied: May 12, 2004 Inventor: NIEMINEN, Greg Attorney Docket: 29912-720.201 Transmittal Form and Power of Attorney attached Location: FH 12, P7

Return Original to: Ruth E. Brown

650 Page Mill Road, Palo Alto, CA 94304-1050 • 650.493.9300 Tel • 650.493.6811 Fax • www.wsgr.com

This fex may contain confidential and privileged material for the sole use of the intended recipient. Any review or distribution by others is strictly prohibited. If you are not the intended recipient please contact the sender and destroy all copies.

Entire Transmission Copyright @ 2003 Wilson Sonsini Goodrich & Rosati. All Rights Reserved.

Ref: 29912-720.201

U.S. Patent and Tradernark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |  |                           |                          |                              |   | Application Number | ber     | 10/8                 | 345,474  |  |  |  |
|---|--|---------------------------|--------------------------|------------------------------|---|--------------------|---------|----------------------|--|--|--|--|
| TRANSMITTAL   |  |                           |                          |                              |   | Filing Date        |         | May                  | 12, 2004   |  |  |  |
| FORM  |  |                           |                          |                              |   | First Named Inve   | ntor    | Greg Nieminen et al. |  |  |  |  |
| (to be used for all correspondence after initial filing)  |  |                           |                          |                              |   | Art Unit           |         | 1614                 |  |  |  |  |
|   |  |                           |                          |                              |   | Examiner Name      |         | Not yet assigned     |  |  |  |  |
| Total Number of Pages in This Submission 2  |  |                           |                          |                              |   | Attorney Docket 1  | Number  | 29912-720.201        |  |  |  |  |
| ENCLOSURES (Check all that apply)   |  |                           |                          |                              |   |                    |         |                      |  |  |  |  |
|   | Fee Transmittal Form                                 |                           |                          |                              | Drawing(s)  |                    |         |                      | After Allowance communication to Technology Center (TC)        |  |  |  |
|   | Fee Attached   |                           |                          | П                            | Licensing-related Papers                            |                    |         |                      | Appeal Communication to Board of Appeals and Interferences     |  |  |  |
|   | Amendment/Reply                                      |                           |                          |                              | Petition  |                    |         |                      | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |  |  |  |
| _   | After Final  |                           |                          |                              | Petition to Convert to a                            |                    |         |                      | Proprietary Information  |  |  |  |
|   | Affidavits/declaration(s)                            |                           |                          |                              | Provisional Application Power of Attorney, Revocati |                    |         |                      | Status Letter  |  |  |  |
| L   | Amdavits/declaration(s)                              |                           |                          | Charge of Correspondence Add |   | ddress             |         | Other Enclosure(s)   |  |  |  |  |
|   | Extension  | Extension of Time Request |                          |                              | Terminal Disclaimer                                 |                    |         |                      | (please identify below):                                       |  |  |  |
|   | Express Abandonment Request                          |                           |                          |                              | Request for Refund                                  |                    |         |                      | ·  |  |  |  |
|   | Information Disclosure Statement                     |                           |                          | CD, Number of CD(s)          |   |                    | •       |                      |  |  |  |  |
|   | Certified Copy of Priority Document(s)               |                           |                          | Rema                         | rks   |                    |         |                      |  |  |  |  |
|   | Response to Missing Parts/<br>Incomplete Application |                           |                          |                              |   |                    |         |                      |  |  |  |  |
|   | Response to Missing Parts                            |                           |                          | •                            |   |                    |         |                      | ,  |  |  |  |
|   | unc  | ier 37 C                  | FR 1.52 or 1.53<br>SIGNA | TURE                         | OF APP  | LICANT, ATTORN     | EY OR A | GENT                 |  |  |  |  |
| Firm or Individual name James R. Shay, Reg. No. 021971, WILSON SONSINI GOODRICH & ROSATI  |  |                           |                          |                              |   |                    |         |                      |  |  |  |  |
| Signature &C C C  |  |                           |                          |                              |   |                    |         |                      |  |  |  |  |
| Date 9/21/04  |  |                           |                          |                              |   |                    |         |                      |  |  |  |  |
|   |  |                           | C                        | ERTIFI                       | CATE O  | F TRANSMISSION     | /MAILIN | G                    |  |  |  |  |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |  |                           |                          |                              |   |                    |         |                      |  |  |  |  |
| Typed or printed name Ruth E. Brown   |  |                           |                          |                              |   |                    |         |                      |  |  |  |  |
| Signature Ruth E.   |  |                           | Brow                     | 57                           |   | Date               |         | 9/21/04              |  |  |  |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEBS OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Practitioner's Docket No.: 29912-720.201 PATENT

## POWER OF ATTORNEY BY ASSIGNEE TO EXCLUSION OF INVENTOR UNDER 37 C.F.R. § 3.71 WITH REVOCATION OF PRIOR POWERS

|  |  |                                 |  |                         |  | •   |  |  |  |  |  |  |
|--|--|---------------------------------|--|-------------------------|--|---|--|--|--|--|--|--|
| The undersi  | gned ASSIGNEE  | of the entire i                 | nterest in:                                |                         |  |   |  |  |  |  |  |  |
|  | <ul> <li>U.S. Patent No.</li> <li>U.S. application no. <u>10/845,474</u>, filed on May 12, 2004</li> </ul>   |                                 |  |                         |  |   |  |  |  |  |  |  |
| Trademark (<br>States Paten  | Office, as associat<br>t and Trademark (   | ed with Custo<br>Office in conn | mer No. 021971, to<br>lection therewith an | prosecute<br>d hereby i | this application and<br>evokes all prior pov | the United States Patent and d transact all business in the United wers of attorney; said appointment to sions of 37 C.F.R. § 3.71. |  |  |  |  |  |  |
| The following  | ng evidentiary do  | cuments establ                  | lish a chain of title i                    | rom the o               | riginal owner to the                         | Assignee:   |  |  |  |  |  |  |
|  | •  |                                 | (complete one                              | of the fo               | llowing)                                     |   |  |  |  |  |  |  |
| ☒  | a copy of an Assignment attached hereto, which Assignment the Application has been (or is herewith) forwarded to the Patent and Trademark Office for recording; or |                                 |  |                         |  |   |  |  |  |  |  |  |
|  | the Assignment recorded on at reel, frames   |                                 |  |                         |  |   |  |  |  |  |  |  |
| Pursuant to 37 C.F.R. § 3.73(b) the undersigned Assignee hereby states that evidentiary documents have been reviewed and hereby certifies that, to the best of ASSIGNEE's knowledge and belief, title is in the identified ASSIGNEE. |  |                                 |  |                         |  |   |  |  |  |  |  |  |
| Direct all co  | rrespondence and   |                                 | ANGE OF CORRE                              | SPONDI                  | ENCE ADDRESS                                 | ·   |  |  |  |  |  |  |
| Name   | James R. Shay  |                                 |  |                         |  |   |  |  |  |  |  |  |
| Address  | Wilson Sonsini   | Goodrich and                    | d Rosati                                   |                         |  |   |  |  |  |  |  |  |
| Address  | 650 Page Mill  | Road                            | ·  |                         |  |   |  |  |  |  |  |  |
| City   | Palo Alto  | State                           | CA   | Zip                     | 94304  | Customer No.: 021971  |  |  |  |  |  |  |
| Country  | USA  | Telephone                       | (650) 493-9300                             | Fax                     | (650) 493-6811                               |   |  |  |  |  |  |  |
|  | ASSIGNEE: Cardiac Dimensions, Inc.  Name: Kirti Kamdar  Print  Signature  Title: Vice President, Research & Development  |                                 |  |                         |  |   |  |  |  |  |  |  |
|  |  |                                 | I  | Date:                   | Sept. 7,                                     | 1004  |  |  |  |  |  |  |